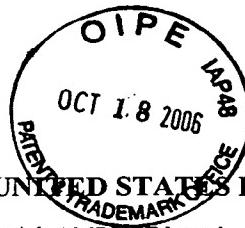


Receipt date: 10/18/2006

Docket No. 289710US0PCT



MAIL STOP PCT IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Patrick AMRHEIN, et al.

SERIAL NO: 10/578,466

GAU:

FILED: May 5, 2006

EXAMINER:

FOR: METHOD FOR THE PRODUCTION OF POLYMER POWDERS FROM AQUEOUS POLYMER DISPERSIONS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Corwin Paul Umbach

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D.  
Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 289710US0PCT	SERIAL NO. 10/578,466		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Patrick AMRHEIN, et al.					
		FILING DATE May 5, 2006		GROUP 1796			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/FT/	AA	4 340 702	07/20/1982	HUDDLESTON, JR et al.			
/FT/	AB	4 246 309	01/20/1981	DANIEL et al.			
/FT/	AC	3 426 101	02/04/1969	RYAN et al.			
	AD						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
/FT/	AE	0 939 100	09/01/1999	EP (equivalent of US 6 162 340)	YES	NO	NO
/FT/	AF	2 214 410	10/04/1973	DE (equivalent of US 3 883 489)			NO
/FT/	AG	2 013 020	09/30/1971	DE (with English abstract)			NO
/FT/	AH	2 130 989	01/20/1972	DE (equivalent of US 3 971 835, US 4 064 197, and US 4 145 380)			NO
/FT/	AI	2 244 519	04/05/1973	DE			NO
/FT/	AJ	2 249 023	05/17/1973	DE (equivalent of US 3 842 144, US 3 886 235, and US 3 886 232)			NO
/FT/	AK	24 38 402	02/20/1975	DE (equivalent of US 3 994 991)			NO
/FT/	AL	25 57 828	07/08/1976	DE (equivalent of GB 1 494 770)			NO
/FT/	AM	32 16 988	10/11/1983	DE (English abstract only and equivalent of EP 093 855)			NO
/FT/	AN	32 16 989	11/10/1983	DE (equivalent of US 4 536 548)			NO
/FT/	AO	33 16 224	11/08/1984	DE (equivalent of US 4 581 414)			NO
/FT/	AP	33 65 229	09/18/1986	DE (equivalent of EP 093 854)			NO
/FT/	AQ	34 60 373	09/04/1986	DE (equivalent of EP 124 700)			NO
/FT/	AR	0 050 848	05/05/1982	EP (equivalent of US 4 352 910)			NO
/FT/	AS	0 093 854	11/16/1983	EP (equivalent of US 4 536 548)			NO
/FT/	AT	0 379 086	07/25/1990	EP (with English abstract)			NO
	AU						NO
	AV						
	AW						
	AX						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
/FT/	AY	"LATICES", ENCYCLOPEDIA OF POLYMER SCIENCE AND ENGINEERING, JOHN WILEY & SONS, Vol. 8, IDENTIFICATION TO LIGNIN, pages 647-677, 1987					
	AZ						
Examiner	/Fred Teskin/			Date Considered	12/21/2008		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Receipt date: 10/18/2006

U.S. PCT Application Serial No: 10/578,466

Filed: May 5, 2006

Patrick AMRHEIN, et al.

Docket No. 289710 US

#### STATEMENT OF RELEVANCY

- 1) References AA-AB and AE-AF have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [REDACTED] have been cited in the corresponding [REDACTED] Search Report. A copy of these references is being submitted herewith.
- 3) References AC, AG-AT and AY are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References [REDACTED] are additional prior art known to Applicant. A copy of these references is being submitted herewith.